

PATENT

Case Docket No. WEBSSEN.030A

Date: April 14, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE # 8

Applicants : Hegli, et al.  
Appl. No. : 10/010,282  
Filed : December 5, 2001  
For : FILTERING TECHNIQUES  
FOR MANAGING ACCESS TO  
INTERNET SITES OR OTHER  
SOFTWARE APPLICATIONS  
Examiner : Unknown  
Group Art Unit : 2152

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 14, 2004

(Date)

James F. Herkenhoff, Reg. No. 51,241

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Technology Center 2100


TRANSMITTAL LETTER

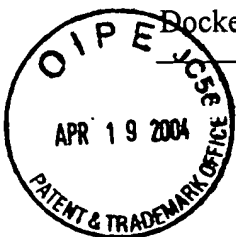
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with thirty one (31) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
James F. Herkenhoff  
Registration No. 51,241  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



## INFORMATION DISCLOSURE STATEMENT

Applicant : Hegli, et al.  
App. No. : 10/010,282  
Filed : December 5, 2001  
For : FILTERING TECHNIQUES FOR  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing thirty one (31) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: 4/14/04By: James F. Herkenhoff

James F. Herkenhoff  
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Attorney of Record  
Customer No. 20,995  
(619) 235-8550

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
WEBSEN.030AAPPLICATION NO.  
10/010,282INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

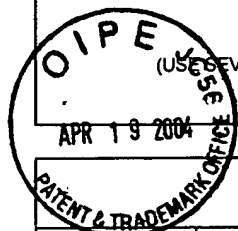
(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Hegli, et al.

APR 22 2004

FILING DATE  
December 5, 2001GROUP  
2152

Technology Center 2100



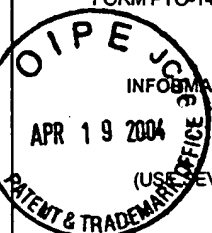
## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,423,414	12/27/83	Bryant et al.			
	2	4,941,084	07/10/90	Terada et al.			
	3	5,493,692	2/20/96	Theimer, et al.			
	4	5,548,729	08/20/96	Akiyoshi et al.			
	5	5,555,376	9/10/96	Theimer, et al.			
	6	5,682,325	10/28/97	Lightfoot, et al.			
	7	5,696,486	12/9/97	Poliquin, et al.			
	8	5,696,898	12/9/97	Baker, et al.			
	9	5,774,668	6/30/98	Choquier, et al.			
	10	5,787,427	7/28/98	Benantar, et al			
	11	5,832,228	11/3/98	Holden, et al.			
	12	5,832,503	11/3/98	Malik, et al.			
	13	5,848,233	12/08/98	Radia et al.			
	14	5,850,523	12/15/98	Gretta, Jr.			
	15	5,892,905	4/6/99	Brandt, et al.			
	16	5,896,502	4/20/99	Shieh, et al.			
	17	5,941,947	8/24/99	Brown, et al.			
	18	5,958,015	9/28/99	Dascalu			
	19	5,991,807	11/23/99	Schmidt, et al.			
	20	6,052,723	4/18/00	Ginn			
	21	6,065,059	5/16/00	Shieh, et al.			
	22	6,182,118 B1	1/30/01	Finney, et al.			
	23	6,338,088 B1	1/8/02	Waters, et al.			
	24	6,456,306 B1	9/24/02	Chin, et al.			

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. WEBSEN.030A		APPLICATION NO. 10/010,282	
 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (US) SEVERAL SHEETS IF NECESSARY				APPLICANT Hegli, et al.			
				FILING DATE December 5, 2001		GROUP 2152	

Technology Center 2100

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	25	0 658 837 A2	12/13/94	EPO				
	26	WO 92/19054	10/29/92	PCT				
	27	WO 96/42041	12/27/96	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	28	Sandhu, et al., Access Control: Principles and Practice, IEEE Communications Magazine, pp. 40-48, September 1994
	29	C. L. Schuba and E. H. Spafford. Countering abuse of name-based authentication. In 22nd Annual Telecommunications Policy Research Conference, 21 pp., 1996
	30	SurfControl plc, SuperScout Web Filter Reviewer's Guide, 36 pp., 2002
	31	Yialelis, et al., Role-Based Security for Distributed Object Systems, Proceedings of the IEEE Fifty Workshops on Enabling Technology: Infrastructure for Collaborative Enterprises (WET ICE '96), 6 pp., June 19-21, 1996

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040804

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	